



2121  
161.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Dempsey

Atty. Docket: CEO-011.01

Serial No.: 09/773,116

Art Unit: 2121

Filed: January 31, 2001

Examiner: Holmes, Michael B.

Title: *Retraining Trainable Data Classifiers*

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, on January 13, 2004.

  
John Barretto

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56 and 1.97

Dear Sir:

Submitted herewith on Form PTO-1449 is a list of publications known to Applicants and/or their Attorney/Agent in compliance with the requirement of 37 C.F.R. § 1.56. This list of publications was previously submitted by facsimile on May 4, 2004. In a telephone conversation with Mr. Matthew Smithers of Group Art Unit 2137, Applicants were informed that the Examiner had no record of the previously submitted Information Disclosure Statement (IDS) and Form PTO-1449. Thus, Applicants herewith again submit the IDS, Form PTO-1449 along with Ref. AH per the request of Mr. Smithers.

In compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97, Applicants have cited for the Examiner's consideration co-pending U.S. patent applications that are owned at least in part by the assignee of this application and that describe subject matter related to the present application. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than

Form PTO-1449 or PTO/SB/08A format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the Examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above.”

No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications and/or copies of associated Office Actions, the Examiner should contact the Attorney of record.

Our Docket #	Serial #	Date Filed	Title
CEO-010.01	09/773,115	31 January 2001	Vector Difference Measures for Data Classifiers

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute “prior art.” If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute “prior art” under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the claimed subject matter over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

The Commissioner is hereby authorized to charge any fee or credit any overpayment to **Deposit Account No. 06-1448, Ref. CEO-011.01.**

Respectfully submitted,

Date: January 13, 2005

**Customer No: 25181**

Patent Group

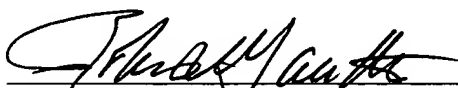
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## CORRECTED PTO FORM-1449

Form PTO-1449

**INFORMATION DISCLOSURE CITATION**  
**IN AN APPLICATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)  
CEO-011.01

Application Number  
09/773,116

Applicant  
Dempsey, et al.

Filing Date  
January 31, 2001

Group Art Unit  
2121

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AE	6,675,134	06-06-04	Dempsey, et al.	702	189	
AF	5,839,103	11-17-98	Mammone et al.	704	232	
AG	6,067,535	05-23-00	Hobson, et al.	706	10	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS

*(Including Author, Title, Date, Pertinent Pages Etc.)*

AH	Ji et al., "Neural Network Control for Queuing in Packet Switched Network," IEEE TENCON, 1993

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE